### ARE YOU HIPAA SAFE?





#### WHAT IS HIPAA?

- HIPAA (Health Insurance Portability and Accountability Act of 1996).
- The U.S. Department of Health and Human Services (HHS) established a set of national standards for the protection of certain health information-Called "Privacy Rule".
- These standards address the use and disclosure of an individuals health information by organizations subject to the Privacy Rule.
- They also set standards for individuals' privacy rights so that consumers understand and can control how their health information is used.

#### IN A NUTSHELL...

- The Privacy Act was intended to:
  - 1. Protects clients
  - 2. Reduce fraud
  - 3. Improve the quality of care
- 4. Set strict standards for how PHI is transmitted.

#### WHO MUST COMPLY?

- Health Care Providers: Any provider of medical or other health Services that bills or is paid for healthcare in the normal course of business.
- Health Care Clearinghouse: Businesses that process or facilitate the processing of health information received from other businesses. Example: Companies that provide physician and hospital billing services.
- **Health Plans:** Individuals or group plans that provide or pay the cost of medical care- including both Medicare and Medicaid programs.

#### PENALTIES FOR NOT COMPLYING...

• Fines up to \$250,000 and or civil or criminal charges including up to 10 years in jail.

#### HIPAA: TITLE 1 AND 2

- Title 1: Health Care Access, Portability and Renewability.
  - Designed to protect health insurance coverage for workers and their families when they change or lose their jobs.

#### TITLE II

- Title II: Preventing Health Care Fraud and Abuse;
   Administrative Simplification; Medical Liability Reform. Title
   II is broken down into 5 rules. The 2 which are of most interest to health care providers are:
  - The Privacy Rule
  - The Security Rule

#### THE PRIVACY RULE

- Establishes regulations for the use and disclosure of Protected Health Information (PHI).
- PHI= ANY information about a persons health status, provision of health care, payment, and medical records-Basically ANYTHING that identifies an individual.

#### **EXAMPLES OF PHI**

- Name
- Address
- Name of relatives
- Name of employers
- Date of Birth
- Telephone Number
- Fax Number
- Email Address
- Social Security Number

- Health Plan Number
- VIN numbers
- License Numbers
- Account Numbers
- URL
- Finger or voice prints
- Photographic images
- "any other unique identifying code or characteristic".

#### THE PRIVACY RULE

- Requires that providers keep a record of all disclosures.
- Providers must chart their interactions with others.
- Have/use release of information forms
- Make privacy policies and procedures available upon request.

#### THE SECURITY RULE

- 3 specific types:
  - Administrative
  - Physical
  - Technical

#### ADMINISTRATIVE SAFEGUARDS

 These are policies and procedures that show how a practice will comply with HIPAA (TriageLogic Policy and Procedure Manual)

#### PHYSICAL SAFEGUARDS

- Physical Safeguards are those expectations to physically monitor any inappropriate access to protected data.
- This part of the Rule says that hardware and software must be introduced safely and be removed properly.

#### OFFICE SPACE MUST BE SECURE ALSO

- Office space must also be a physical safeguard. Steps you should follow:
  - make sure your computer screen faces away from where persons might see your screen
  - lock your screen if you walk away from your computer
  - do not give anyone your passwords
  - minimize your screen if someone walks into your office.
  - Do not allow others to utilize your work computer.
  - Work in a quiet environment with a door so you can block out "home noise".

#### WAYS TO STAY COMPLIANT...

- Always: be aware of where you are, who is around you and what information can be seen or heard. Take reasonable measures to minimize the chance of incidental disclosures to others.
- Don't: Browse through a patient's chart/note or other files out of curiosity. Access only the portions of the medical record that you need to perform your specific role.

#### INCIDENTAL DISCLOSURES

 Incidental Disclosure: generally refers to a sharing of PHI that occurs related to an allowable disclosure of PHI.
 An "incidental disclosure" is allowed if steps are taken to

limit them.

• For example, visitors may hear a patient's name as it's called out in a waiting room or overhear a clinical discussion as they are walking down a hallway on the unit.

#### IF PROTECTIONS ARE IN PLACE:

- You can talk about patient conditions in our education programs.
- Prescriptions can be discussed with the patient by phone.
- Messages can be left on answering machines or with those who answer the phone, but the message should be limited to minimum necessary and sensitive information should not be used.

#### **ELECTRONIC COMMUNICATION**

- Faxes, emails and computer printouts may contain patient information.
- Never send PHI over an unsecured method.
- No PHI should EVER be emailed.
- Designated individuals have authority to print PHI and they
  must have a crisscross shredder available and immediately
  shred the information as soon as they are finished using it.

## WRITTEN PERMISSION IS NOT NEEDED

- As required by law, such as reporting abuse or neglect.
- For law enforcement.
- For organ donation organizations.
- To medical examiners and funeral directors.
- To avoid threats to health and safety.
- For certain research activities if the IRB has granted a waiver.

#### PATIENT RIGHTS

- The Privacy Rule gives patients the right to:
- have their PHI protected.
- inspect and copy their records.
- request that PHI in their records be corrected or changed.
- ask for limits on how their PHI is used or shared.
- ask that they be contacted in a specific way, such as at work and not at home.
- get a list of disclosures made of their PHI.

#### **OUR RESPONSIBILITY**

 Anyone that works with PHI is legally and ethically responsible and accountable for maintaining the privacy and confidentiality of protected health information (PHI).

## POST TEST

#### TRUE OR FALSE?

 A patients email address is <u>NOT</u> HIPAA protected information? FALSE...

## WHICH OF THE FOLLOWING IS NOT A WAY TO ENSURE SECURITY

- A. Keeping your computer screen tilted away from public areas
- B. Locking up laptops and other portable devices when not in use
- C. Leaving a shared computer logged on, so your coworker doesn't have to log on all over again
- D. Selecting secure passwords
- E. Making sure doors and desks are locked as appropriate

C. Leaving a shared computer logged on, so your coworker doesn't have to log on all over again

# WRITTEN PERMISSION IS NOT REQUIRED WHEN REPORTING ABUSE OR NEGLECT?

TRUE or FALSE?

• TRUE

# HOW CAN YOU PROTECT PHI IN YOUR OFFICE SETTING?

- 1. Make sure your computer screen faces away from where persons might see your screen
- 2. Lock your screen if you walk away from your computer do not give anyone your passwords
- 3. Minimize your screen if someone walks into your office.
- 4. Do not allow others to utilize your work computer.
- 5. If working from home, work in a quiet environment with a door so you can block out "home noise".